

WESTERN INTERNATIONAL SECURITIES - Held
NMS Stocks and Options Order Routing Public
Report
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1st Quarter, 2023

January 2023

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
4,215	100.00	3,671	87.09	106	2.51	374	8.87	64	1.52

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	1,423	30.41	1,317	35.89	35	32.41	55	6.68	16	20.25	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1,140	24.36	1,014	27.63	35	32.41	48	5.83	43	54.43	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	936	20.00	873	23.79	27	25.00	33	4.01	3	3.80	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	338	7.22	318	8.66	5	4.63	15	1.82	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	259	5.53	0	0.00	0	0.00	259	31.47	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	224	4.79	0	0.00	2	1.85	222	26.97	0	0.00	0	0	0	0	0	0	0	0
Close EDGX US Equities Exchange(EDGX)	142	3.03	0	0.00	0	0.00	142	17.25	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	96	2.05	89	2.43	1	0.93	6	0.73	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	39	0.83	0	0.00	0	0.00	39	4.74	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	37	0.79	34	0.93	1	0.93	2	0.24	0	0.00	0	0	0	0	0	0	0	0

January 2023

Non-S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
6,544	100.00	5,282	80.72	537	8.21	613	9.37	112	1.71

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	2,299	30.46	1,927	36.59	200	37.24	108	7.85	64	17.34	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	1,644	21.78	1,421	26.98	140	26.07	41	2.98	42	11.38	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	1,442	19.10	1,291	24.51	121	22.53	29	2.11	1	0.27	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	532	7.05	466	8.85	48	8.94	18	1.31	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	388	5.14	0	0.00	2	0.37	386	28.07	0	0.00	0	0	0	0	0	0	0	0
Close EDGX US Equities Exchange(EDGX)	332	4.40	0	0.00	0	0.00	332	24.15	0	0.00	0	0	0	0	0	0	0	0
Instinet	257	3.40	0	0.00	0	0.00	0	0.00	257	69.65	0	0	0	0	0	0	0	0
BlockCross(BLIX)	257	3.40	0	0.00	0	0.00	257	18.69	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	257	3.40	0	0.00	0	0.00	257	18.69	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	91	1.21	80	1.52	6	1.12	5	0.36	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	90	1.19	0	0.00	0	0.00	90	6.55	0	0.00	0	0	0	0	0	0	0	0

January 2023

Option Contracts
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
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Instinet																		
BlockCross(BLXK)	273	3.72	0	0.00	0	0.00	0	0.00	273	67.08	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	258	3.52	0	0.00	0	0.00	258	16.13	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	216	2.95	0	0.00	3	0.60	213	13.31	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	87	1.19	0	0.00	0	0.00	87	5.44	0	0.00	0	0	0	0	0	0	0	0

February 2023

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,921	100.00	758	39.46	130	6.77	941	48.98	92	4.79

Venues

Venue - Non-directed Order Flow Citadel Securities LLC(CDRG)	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Wolverine Execution Services LLC(WEXX)	943	40.66	389	50.06	70	48.28	444	34.02	40	43.48	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC(DASH)	541	23.33	20	2.57	10	6.90	511	39.16	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	390	16.82	99	12.74	16	11.03	268	20.54	7	7.61	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	329	14.19	220	28.31	41	28.28	23	1.76	45	48.91	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	111	4.79	47	6.05	8	5.52	56	4.29	0	0.00	0	0	0	0	0	0	0	0
	5	0.22	2	0.26	0	0.00	3	0.23	0	0.00	0	0	0	0	0	0	0	0

March 2023

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3,705	100.00	3,101	83.70	156	4.21	419	11.31	29	0.78

Venues

Venue - Non-directed Order Flow Citadel Securities LLC(CDRG)	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VIRTU Americas, LLC(NITE)	1,264	27.85	1,123	36.20	58	37.42	74	6.01	9	18.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	976	21.50	841	27.11	63	40.65	52	4.22	20	40.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	824	18.15	759	24.47	22	14.19	43	3.49	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	477	10.51	0	0.00	1	0.65	476	38.64	0	0.00	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(INST)	378	8.33	0	0.00	0	0.00	378	30.68	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	294	6.48	263	8.48	8	5.16	23	1.87	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	128	2.82	0	0.00	0	0.00	128	10.39	0	0.00	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	68	1.50	65	2.10	2	1.29	1	0.08	0	0.00	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTU)	50	1.10	0	0.00	0	0.00	50	4.06	0	0.00	0	0	0	0	0	0	0	0
	30	0.66	28	0.90	1	0.65	1	0.08	0	0.00	0	0	0	0	0	0	0	0

March 2023

Non-S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
5,031	100.00	3,820	75.93	558	11.09	566	11.25	87	1.73

Venues

